

GIET UNIVERSITY, GUNUPUR – 765022

B. B. A (Fifth Semester) Examinations, November - 2023

21BBAFN35005 – Financial Institutions, Markets & Services

Time: 3 hrs Maximum: 60 Marks

(The figures in the right hand margin indicate marks.)

PART - A		$(2 \times 10 = 20 \text{ Marks})$		
Q.1. Answer <i>ALL</i> questions			CO#	Blooms
a.	Sketch the structure of Indian financial system.		CO1	Level L2
b.	Recall classification of financial markets on the basis of type of financial claim.		CO1	L1
c.	State any two final services marked trough brokers and agents.		CO2	L3
d.	Write a short note on Financial Intermediaries.		CO2	L2
e.	Explain leasing.		CO3	L1
f.	Name four important credit rating agencies of India.		CO3	L2
g.	Describe Depository participant.		CO4	L1
h.	Recall two guidelines for mutual funds working in India.		CO4	L2
i.	Memorize the regulatory authorities of finance sector in India.		CO5	L1
j.	Explain the process of demat of securities.		CO5	L4
PART – B		$(8 \times 5 = 40 \text{ Marks})$		
Answer ALL the questions		Marks	CO#	Blooms Level
2. a	Discuss functions of financial system.	8	CO1	K2
	(OR)			
b	Elaborate functions & characteristics of Financial market.	8	CO1	K2
3.a	Memorise financial services and their objectives.	8	CO2	K1
	(OR)			
b	Elucidate Money market financial services.	8	CO2	K3
4.a	Rewrite the legal aspects and guidelines for venture capital.	8	CO3	К3
	(OR)			
b	Discuss the Growth of venture capital in India.	8	CO3	K2
5.a	Describe working of public and private mutual funds in India.	8	CO4	K4
	(OR)			
b	Deduce the role of NSDL & CSDL.	8	CO4	К3
6.a	Describe legal framework of government in banking.	8	CO1	K2
	(OR)			
b	Recall laws pertaining anti- money laundering.	8	CO5	K4