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GIET UNIVERSITY, GUNUPUR – 765022
B. B. A (Fifth Semester) Examinations, December' 2022
20BBA505F – Financial Institutions, Markets & Services

Time: 3 hrs.

Maximum: 70 Marks

The figures in the right hand margin indicate marks.

PART – A: (Multiple Choice Questions)**(1 x 10 =10 Marks)****Q. 1 Answer ALL questions**

- a. SEBI was established in _____.
 - i. 1985
 - ii. 1991
 - iii. 1990
 - iv. 1988
- b. Which of the following is not a regulatory institution in Indian financial system?
 - i. CIBIL
 - ii. SEBI
 - iii. IRDA
 - iv. RBI
- c. Financial derivatives include
 - i. Bonds
 - ii. Futures
 - iii. None of the above.
 - iv. Registrar of issue
- d. _____ has the statutory powers to regulate and promote the Indian capital market.
 - i. Merchant banks
 - ii. SEBI
 - iii. RBI
 - iv. Stocks
- e. The market regulator of Indian Capital Market is
 - i. RBI
 - ii. SEBI
 - iii. STCI
 - iv. DFHI
- f. Which of the following is a financial asset
 - i. silver
 - ii. share
 - iii. Land.
 - iv. gold
- g. Govt. bond is a
 - i. long term security
 - ii. medium term security
 - iii. Either a or b.
 - iv. short term security
- h. Money market deals with instruments.
 - i. short term
 - ii. medium term
 - iii. All of these.
 - iv. long term
- i. The market for extremely short period loan is called.....
 - i. b. money at short notice
 - ii. T-bill market
 - iii. d. G-sec market
 - iv. call money market
- j.acts as an intermediary between Govt. and money market
 - i. SEBI
 - ii. Commercial banks
 - iii. All the above
 - iv. RBI

PART – B: (Short Answer Questions)**(2 x 10 = 20 Marks)**Q.2. Answer **ALL** questions

- a. What are the functions of a Financial Markets?
- b. Define Treasury Bill?
- c. Distinguish between Capital Market and Money Market.
- d. What are the functions of a Stock Exchange?
- e. What are the objectives of the SEBI?
- f. State the objectives of the NSE?
- g. What are the types of financial services?
- h. What are the money market services?
- i. Define venture capital?
- j. What is depository system?

PART – C: (Long Answer Questions)**(10 x 4 = 40 Marks)**Answer **ALL** questionsMarks

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| 3.a. Explain the various money Market Instruments? | 5 |
| b. What are the methods of floatation in Primary Market? | 5 |
| (OR) | |
| c. Explain the Capital Market reforms in India? | 5 |
| d. Explain the objectives and functions of SEBI? | 5 |
| 4.a. What are the characteristic features of a lease? Explain? | 5 |
| b. What are the different types of 'Consumer Finance'? | 5 |
| (OR) | |
| c. Explain different types of lease? | 5 |
| d. What are the features of Credit Rating? | 5 |
| 5.a. Explain the different stages of venture capital? | 5 |
| b. Explain the features of Mutual Fund? | 5 |
| (OR) | |
| c. Explain the various money market instruments? | 5 |
| d. Explain Capital Market. Give two parts of capital market? | 5 |
| 6.a. Differentiate between the two segments of financial market on any five bases? | 5 |
| b. Explain venture capital with its legal aspects and guidelines? | 5 |
| (OR) | |
| c. Explain the regulatory activities of SEBI? | 5 |
| d. Define financial markets and its components? | 5 |

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